

OFFICE OF FINANCIAL REGULATION
SECURITIES OFFERINGS STATISTICS

	NOTIFICATION REGISTRATION														
	FISCAL YEAR														
	2011-2012	2012-2013	2013-2014	2014-2015	2015-2016	2016-2017	2017-2018	2018-2019	2019-2020	2020-2021	2021-2022	2022-2023	2023-2024	2024-2025	2025-2026
Received	134	110	107	112	106	91	66	53	52	46	46	51	59	67	80
Approved	108	107	92	103	103	78	58	51	33	36	33	38	53	58	71
Withdrawn	21	27	9	7	5	6	6	4	8	3	3	12	18	0	7
Denied	1	1	4	6	1	5	2	0	0	0	0	0	0	5	5
	QUALIFICATION REGISTRATION BY MERIT REVIEW														
	FISCAL YEAR														
	2011-2012	2012-2013	2013-2014	2014-2015	2015-2016	2016-2017	2017-2018	2018-2019	2019-2020	2020-2021	2021-2022	2022-2023	2023-2024	2024-2025	2025-2026
Received	12	10	4	3	7	6	11	3	5	7	4	11	8	6	9
Approved	2	3	2	2	2	3	2	3	2	2	3	4	6	5	7
Withdrawn	9	7	1	1	3	4	5	0	1	1	5	3	12	0	2
Denied	0	1	1	1	0	2	1	0	1	0	0	4	1	0	0