

## Notice of Meeting/Workshop Hearing

### DEPARTMENT OF FINANCIAL SERVICES

#### Securities

RULE NOS.:	RULE TITLES:
<a href="#">69W-600.001</a>	Application for Registration as a Dealer, Issuer/Dealer, or Investment Adviser
<a href="#">69W-600.0012</a>	Application for Registration as a Dealer (non-FINRA)
<a href="#">69W-600.0013</a>	Application for Registration as an Issuer/Dealer
<a href="#">69W-600.0015</a>	Canadian Dealer Notification
<a href="#">69W-600.0016</a>	Application for Registration as an Investment Adviser (State Registered)
<a href="#">69W-600.0017</a>	Notice-Filing for Federal Covered Advisers
<a href="#">69W-600.002</a>	Application for Registration as Associated Person
<a href="#">69W-600.0022</a>	Application for Registration as an Associated Person (Non-FINRA Dealer)
<a href="#">69W-600.0023</a>	Application for Registration as an Associated Person (Issuer/Dealer)
<a href="#">69W-600.0024</a>	Application for Registration as an Associated Person (Investment Adviser)
<a href="#">69W-600.003</a>	Multiple Registration
<a href="#">69W-600.0031</a>	Notice-Filing of Branch Office (FINRA Dealer)
<a href="#">69W-600.0032</a>	Notice-Filing of Branch Office (Non-FINRA Dealer)
<a href="#">69W-600.0033</a>	Notice Filing of Branch Office (Issuer/Dealer)
<a href="#">69W-600.0034</a>	Notice-Filing of Branch Office (Investment Adviser)
<a href="#">69W-600.004</a>	Registration of Issuer/Dealers and Principals and Notice-Filing of Branch Offices
<a href="#">69W-600.005</a>	Examinations/Qualifications
<a href="#">69W-600.006</a>	Fingerprint Requirements
<a href="#">69W-600.007</a>	Changes in Name and Successor Registration Requirements
<a href="#">69W-600.008</a>	Termination of Registration as Dealer, Investment Adviser, Principal or Agent, or Notification of Branch Office
<a href="#">69W-600.009</a>	Registration and Notice-Filing Renewals
<a href="#">69W-600.0091</a>	Central Registration Depository System
<a href="#">69W-600.0092</a>	Investment Adviser Registration Depository for Federal Covered Advisers
<a href="#">69W-600.0093</a>	Investment Adviser Registration Depository for Investment Advisers
<a href="#">69W-600.010</a>	Notice of Civil, Criminal or Administrative Action

The Office of Financial Regulation announces a hearing to which all persons are invited.

DATE AND TIME: August 17, 2015, 10:00 a.m.

PLACE: Office of Financial Regulation, Room B103, 200 East Gaines St., Fletcher Building, Tallahassee, Florida 32399

GENERAL SUBJECT MATTER TO BE CONSIDERED: The Office will hold a public hearing to discuss changes to its proposed rules relating to registration/notice-filing of entities regulated under Chapter 517, F.S., published in the FAR on July 14, 2015.

A copy of the agenda may be obtained by contacting: The agenda will be available at <http://www.flofr.com/StaticPages/NoticesOfPublicMeetingsHearingsAndWorkshops.htm>.

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